

Evaluation of the mulch films biodegradation in soil: A methodological review

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Highlights

- Most of the analysed studies were carried out under laboratory conditions.
- Biodegradation was primarily estimated by indirect methods (visual analysis, mass loss, and spectroscopy).
- Despite being a key factor in biodegradation, soil characteristics were not described in many papers.
- Indirect methods can be useful indicators for open field studies, but they cannot prove the biodegradation of materials.
- Indirect methods should always be coupled with direct methods (CO₂ evolution) or using films proved to be biodegradable in soil.

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Abstract

Plastic mulch films are widely used in agriculture, but most are not biodegradable in soil. Biodegradable mulch films are blends of different polymers whose composition ratios vary notably from one product to another. Their degradation rates vary significantly according to the physio-chemical characteristics of the product and according to the properties of the soil and its microbial activity. This review aims to provide an overview of the methods used to estimate the biodegradation performances of biodegradable plastics in the soil. In line with this objective, 80 papers were selected and systematically analysed to extract information on the characteristics of the soil used in the experiments, the type of polymer analysed, and the methods used to estimate biodegradation in the soil environment. Our systematic analysis showed that studies were carried out under both laboratory-controlled and open-field conditions, with different approaches involving visual analysis, mass loss measurements, spectroscopy, and CO₂ measurements. Linear estimation of biodegradation performance for four of the most common biodegradable polymers (*i.e.*, polybutylene succinate, polybutylene succinate-*co*-adipate, polylactic acid, and polybutylene adipate-*co*-terephthalate), either pure or blended, showed an extensive range of results that appear only partially comparable. Furthermore, many of the analysed papers did not report soil characteristics at all, despite soil being one of the most important factors in the biodegradation process. Although methodologies for estimating biodegradation are well developed, at least under laboratory-controlled conditions, there is a need for a shared methodology to make results comparable among different experiments. Within such a shared methodology, visual analysis or mass loss measurements, despite not being able to prove the biodegradation of polymers scientifically, should not be discarded *a priori* as they might be valuable indicators, especially for open-field experiments. When using indirect biodegradation indicators such as visual analysis or mass loss, it is necessary to couple them with CO₂ measurements or use materials whose biodegradability in the soil environment has already been tested.

Introduction

The use of plastics in agriculture: from polyethylene-based plastic to biodegradable plastic

Plasticulture refers to the use of plastic in the agricultural sector, including its use for greenhouse covers, row tunnels, films for solarisation or fumigation, packaging, irrigation tubes, flowerpots, and many other items (Kasirajan and Ngouajio, 2012; Malinconico, 2017). The amount of plastic used for agriculture application exceeds 2 million tons per year, with most consumption in Asia, followed by Europe, especially in regions within the Mediterranean basin (Kyrikou and Briassoulis, 2007). Plastic mulch films are widespread worldwide and currently account for the majority of the plastic used in agriculture (Kasirajan and Ngouajio, 2012). According to Brodhagen *et al.* (2015), one hectare of plastic-mulched ground contains approximately 5,000 m² of mulch film, roughly corresponding to 100 kg of plastic material laid on the soil during each cropping cycle. Synthetic plastic films are mainly made of low-density polyethylene, a polymer that shows excellent properties in terms of chemical resistance, durability, flexibility, ease of processing, and affordability (Kasirajan and Ngouajio, 2012). Proper mulching with plastic films has positive effects on crop yields mainly derived from improved soil temperatures and/or moisture and from efficient weed control (Malinconico, 2017; Martín-Closas *et al.*, 2017; Bandopadhyay *et al.*, 2018). Given that polyethylene-based mulch films do not naturally decompose in the soil (at least not in a reasonable amount of time), they need to be removed from the fields and disposed of somewhere (Kyrikou and Briassoulis, 2007; Kasirajan and Ngouajio, 2012; Briassoulis and Degli Innocenti, 2017). Undeniably this is a considerable problem both from the perspective of farmers' effort in removal (which might be considered an economic cost and as a CO₂-emission cost) and in terms of accumulation of nondegradable plastics in the environment (Martín-Closas *et al.*, 2017). Unfortunately, the total removal and recycling of used polyethylene mulch films appear to be unfeasible since used materials are practically inseparable from soil debris and crop residues (Kasirajan and Ngouajio, 2012). Moreover, plastic deterioration by abiotic factors (*i.e.*, weathering) occurs, and a certain fraction of the film will always remain in the environment (Martín-Closas *et al.*, 2017; Wei and Zimmermann, 2017; Bandopadhyay *et al.*, 2018). The first types of 'degradable' plastic commercialized during the 1970s and 1980s were wrongly named 'biodegradable' when they were only 'oxo-degradable'; furthermore, they were expensive and characterized by unpredictable breakdown (Kasirajan and Ngouajio, 2012). To solve such problems, research over the last few decades has focused on the development of potentially biodegradable plastics products with properties as good as those of conventional plastics (Tokiwa *et al.*, 2009; Kasirajan and Ngouajio, 2012; Malinconico, 2017; Wei and Zimmermann, 2017; SAPEA, 2020).

Key features of biodegradable plastics used in agriculture

It must be pointed out that the term 'bio-plastic', which is commonly used, might generate confusion or misunderstandings. While 'bio-based plastic' can be defined as 'plastic that contains organic carbon obtained from renewable resources such as crops', 'biodegradable plastic' is composed of polymers that can be degraded by microorganisms naturally present in a specific environment (*e.g.*, agricultural soil). However, the biodegradation of such polymers does not depend on the provenance of their carbon

(Sanders *et al.*, 2019). Indeed, not all bio-based plastics are biodegradable, while some fossil-based plastics are (Tokiwa *et al.*, 2009; SAPEA, 2020). Whether bio-based or fossil-based, biodegradable plastics are mainly made of aromatic or aliphatic polyesters that have hydrolysable ester bonds within their polymer chain structure (Kasirajan and Ngouajio, 2012). Under aerobic conditions, fungi and bacteria can break the polymer chains at specific locations and use them as a source of energy, ultimately transforming them into CO₂, water, and biomass (Wei and Zimmermann, 2017).

Three key steps can be identified in the biodegradation process of plastics: i) the colonization of the polymer surfaces by soil microorganisms; ii) the enzymatic depolymerisation of the polymer by extracellular hydrolases secreted by the colonising microorganisms; iii) the microbial utilisation of the oligomeric and monomeric hydrolysis products that are released from the polymer (Sander 2019). However, abiotic degradation might represent a crucial step in the biodegradation of some polymers that first hydrolysed abiotically (*i.e.*, polylactic acid) and are only later utilised by microorganisms (Gorrasí and Pantani, 2017). Thus, the biodegradability of mulch films (and also of polymers in general) depends on the action and interaction of abiotic factors (*e.g.*, soil temperature, moisture, pH, available nutrients) and biotic factors (*e.g.*, enzyme activity, presence or abundance of certain microorganisms) as well as on the characteristics of the polymer (*e.g.*, crystallinity, morphology, crosslinking) (Kasirajan and Ngouajio, 2012).

Commercially available biodegradable mulch films are blends of different polyesters and starch whose composition ratios vary notably from one product to another. In these blends, specific amounts of additional components such as plasticisers or colourisers are always added during manufacturing (Bandopadhyay *et al.*, 2018). Among the most important polyesters used to produce commercial film blends are polybutylene succinate (PBS), polybutylene succinate-*co*-adipate (PBSA), polylactic acid (PLA), and polybutylene adipate-*co*-terephthalate (PBAT) (Brodhagen *et al.*, 2015). PBAT is the most used polyester in recent biodegradable mulch products, and, similarly to PBSA, it is derived from petroleum. Although PBS was previously only a petroleum-derived product, it has recently become possible to produce bio-based PBS (Xu and Guo, 2010). All of the polyesters mentioned above are generally considered biodegradable. However, the rates and levels of their biodegradability are highly dependent on their morphology (*e.g.*, crystallinity) and chemical structure (*e.g.*, ease of bond breaking, molecular weight), the types and numbers of microorganisms that can decompose each chemical structure, and whether the environment is suitable for the microorganisms to secrete degrading enzymes.

The biodegradation of plastics in different environments

The biodegradation of plastics can occur in different environments such as in wastewater, sewage sludge, marine, compost, and soil environments (Kjeldsen *et al.*, 2019). However, the paths by which biodegradable plastics undergo biodegradation are different and mainly regulated by the presence or absence of oxygen and the temperature of the environment. For example, the composting environment is substantially different from the soil environment because it reaches temperatures above the range tolerated by mesophilic organisms in the soil and significantly affects inhabiting microorganisms (SAPEA, 2020). Thus, the degradation of a specific biodegradable polymer is generally faster under composting than in the soil. Furthermore, under anaerobic and methanogenic conditions, CH₄ is released in addition to CO₂ dur-

ing biodegradation. That can happen under composting and other environments such as controlled digestion systems or landfills. In these systems, the lack of oxygen and high temperature creates optimal conditions for biodegradable plastics to be degraded by thermophilic microorganisms, with which controlled digestion systems are also often inoculated (Quecholac-Piña *et al.*, 2020). Most of the soil where mulch films are used is a very different biodegradation environment from the ones as mentioned above because conditions are aerobic for most of the time (or at least anaerobic conditions are very rare and never occur for long periods), and it has a mesophilic temperature range.

Mulching films undergo two distinct phases in their degradation process. The first one begins when the film is placed on the soil, often coinciding with the sowing or transplanting of the crop. In this phase, which lasts a few months, the films undergo mainly an abiotic degradation where the action and interaction of UV radiation, rain, temperature, moisture, and wind (*i.e.*, the film weathering) can modify the characteristics of the polymers (*e.g.*, crystallinity, morphology, crosslinking) and play a key role that influences the next phase. The second phase occurs after the mulch films burial, which generally occurs by soil tillage at the end of the crop cycle. This is the phase where the biotic degradation of mulch films mainly takes place and where soil microorganisms colonise the surface of the mulch film, secrete extracellular enzymes to depolymerise it, and finally use the obtained oligomers and monomers for their metabolic activities, releasing CO₂, H₂O, and new microbial biomass (Sander 2019) (Figure 1).

For bio-scientists, such as crop, soil, or microbial scientists, one of the key aspects of soil is that it is far from being a 'standard environment' as might be, for example, 'standard composting' environment. Indeed, agricultural soils can differ greatly in physicochemical and microbiological characteristics, even if they are located at close range. For example, if the same soil was managed differently over a long period (*e.g.*, different tillage regimens

and/or manure application for decades), this could influence the activity and presence of crucial microbial degraders and thus its degradation potential. Therefore, the decomposition of biodegradable films can vary from soil to soil, making it difficult for farmers to choose the most suited biodegradable film for their cropping system. For example, Yamamoto-Tamura *et al.* (2015) reported that the same PBSA film was degraded in eleven Japanese agricultural soils at different rates. They suggested that the biodegrading ability of the soil was correlated with the different soil fungi populations and related esterase activity. Other examples of different performances of the same biodegradable films buried in soils with different microbial activities, mainly fungi, were reported by Zhang *et al.* (2019) for PLA:PBAT mulches, by Hoshino *et al.* (2001) for PLA, PBS, and PBSA, and by Li *et al.* (2014) and Šerá *et al.* (2016) for commercial biodegradable films. However, even within the same soil environment, the estimated degradation rates of biodegradable plastics might change depending on whether the study is carried out under open-field conditions or laboratory-controlled conditions. In the latter case, although it is possible to control the main variables that influence biodegradation (*i.e.*, soil water content and temperature of incubation), it appears to be impossible to replicate all variables found under open-field conditions. At the same time, researchers, farmers, and policymakers would be more interested in results obtained under open-field conditions because that is where the biodegradable mulch will be used and where it is meant to be disposed of. Even if it is conceivable that film biodegrading could be slower in the open field than under laboratory conditions, there are several variables to be considered that can influence the biodegradation process (*e.g.*, the effect of repeated tillage and/or fertilization rates and types).

Several national and international standards have been created recently to make experiments as replicable as possible. The main standards and methods included will be briefly introduced in the next section.

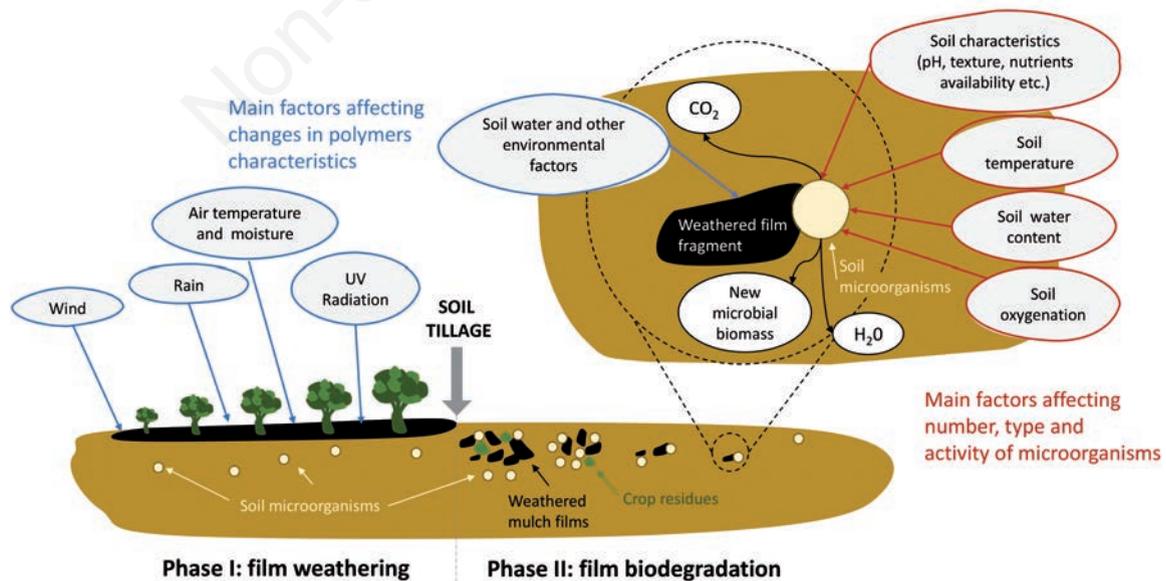


Figure 1. Abiotic and biotic degradation phases of mulch films during and after a cropping cycle.

Methods for the estimation of film biodegradation in soil

Currently, there are different international standards for estimating the biodegradation of plastics in soil which the American Society provides for Testing and Materials (ASTM international, 2012), the International Organization for Standardization (ISO 17556, 2012), and the European Committee for Standardization (EN 17033, 2018). These standards each measure the evolved CO₂ as a function of time of exposure, they are each performed with soil at a room temperature of 20-28±2°C, and they each provide validation criteria (*i.e.*, ≥90% conversion of tested material carbon into CO₂ within 2 years in absolute terms or relative to a positive control such as microcrystalline cellulose). In addition, there are national standards that are comparable to the international ones, such as the Japanese standard JIS K 6955 (2017) (a modified version of ISO 17556, 2012) or the Italian standard UNI 11462 (2012). Furthermore, a new standard (ISO 23517, 2021) has been recently published, and similarly to EN 17033 (2018), it specifically addresses the biodegradation of mulch films for use in agriculture and horticulture, including evaluation of their ecotoxicity and control of constituents.

International standards have been criticised because they consider the release of CO₂ as a unique proof that microorganisms consume the polymer, given that the polymer is the only carbon source. However, it is possible that the evolved CO₂ could also derive from the breakdown of additives rather than actual polymer biodegradation (Lucas *et al.*, 2008). However, these additives typically represent only a small portion of the commercial blend, and evolved CO₂ should correspond to a very close estimation of mulch film biodegradation. Indeed, recent studies using ¹³C labelling proved the biodegradability of PBAT (one of the most used polymers in manufacturing mulch films labelled as biodegradable in soil), showing that each monomer derived from it was used by soil microorganisms (Zumstein *et al.*, 2018).

Besides national and international standards, other studies have used other methodologies to estimate the biodegradation of plastics in soil. These methods mainly include visual analysis, mass loss, and spectroscopy often used concomitantly and sometimes partially or totally following the standards mentioned above. For example, Apinya *et al.* (2015) and Vanharova *et al.* (2017) measured the CO₂ evolved from biodegradable plastic samples based on international standards (ISO 17556, 2012 and ASTM international, 2012, respectively) together with measuring film mass loss and conducting spectroscopy and electron microscopy observations.

In this review, the estimation of film biodegradation with visual analysis, mass loss, and spectroscopy is defined as ‘indirect methods’. In contrast, ‘direct methods’ include the estimation of biodegradation by measuring the evolved CO₂ needed to confirm the biodegradability of mulch films or of the polymers that compose them.

Aims and scope of this review

This review aims at providing an overview of all the methods (*i.e.*, direct and indirect) that various authors have used to estimate the biodegradation performances of biodegradable plastics in soil. It also provides a critical discussion of the advantages and limitations of each method used by the authors over the years. Finally, it gives suggestions on using the techniques currently available in different stages of research or for different purposes.

To achieve these aims, the literature from the last 30 years was systematically analysed to identify the methods used to estimate the biodegradation performances of potentially biodegradable mulch films.

This review will focus only on biodegradation in soil under field conditions or under laboratory conditions, which here is understood as a ‘controlled simulation of open-field conditions’ (SAPEA, 2020). Other environments or conditions of biodegradation (*e.g.*, water or anaerobic landfills) are beyond the scope of this review. For an overview of methodological aspects of plastic degradation in aerobic composting and anaerobic digestion, refer to the recent reviews authored by Ruggero *et al.* (2019) and Quecholac-Piña *et al.* (2020).

Materials and methods

Search criteria

The articles were searched for in the *Web of Science* (<https://webofknowledge.com/>) in October 2020 using the ‘advanced search mode’ with the following search string: *TS=((bioplastic OR polyesters) AND (CO2 OR decomposition OR biodegradation OR respiration) AND soil)* which returned a total of 322 papers. Only papers explicitly dealing with biodegradable plastic degradation carried out in soil were selected and included in this review. A large part of the 322 papers was discarded because they explicitly reported elements unrelated to soil or only covered industrial production processes. Some papers that considered different environments besides soil were included, but the results were limited to results obtained from soil incubation or burial. Conversely, papers dealing exclusively with the biodegradation of polymers carried out in composting, aquatic, or anaerobic environments were not included. The total number of papers considered eligible and thus included in this review was 80.

Systematic literature review and estimation of polymer degradation

After the selection, each paper was systematically analysed in order to extract information on soil characteristics used in the experiments, types of polymers analysed, and methods used to estimate their biodegradation in soil. Since papers often reported more than one polymer (either in pure form or as a blend), the database was set to match each polymer analysed to one research finding. For example, Hoshino *et al.* (2001) analysed PLA, PBS, and PBSA within the same paper, and that corresponded to three findings. For this reason, the total number of findings exceeds the total number of papers included. This review was limited to PLA, PBS, PBSA, and PBAT for pure polymers because these are among the most common polymers used to manufacture agricultural mulch films (Brodhagen *et al.*, 2015). The most frequent commercial blends that emerged from the systematic review of the final 80 papers were Ecoflex (BASF) and Mater-Bi (Novamont), with 12 and 14 findings, respectively. Although Ecoflex was considered equal to PBAT and is therefore not separated from the others, Mater-Bi is reported separately in the Results section because it substantially differs from the others as it is a blend of mainly PBAT and thermoplastic starch.

Subsequently, using the reported lengths of the experiments and the estimated percentages of degradation for each polymer (using both indirect and direct methods), we predicted the number of days to reach 50% and 90% biodegradation and the percentage biodegradation after 6 months, assuming a linear relationship between time and degree of reported biodegradation.

Results and discussion

Main general experimental aspects emerging from the included papers

The number of studies published over the last 30 years on the degradation of biodegradable polymers in soil showed a low but increasing trend, with an average publication rate of about 3.5 papers per year (Figure 2). Of the 80 selected studies, 58 (72%) were carried out under laboratory conditions, whereas 9 (11%) used a combined approach with laboratory and open-field analysis (e.g., Feuilloley *et al.*, 2005; Moreno *et al.*, 2017).

Not all authors included information on the origin or location of the soil used; however, most of the studies that reported this information were from Japan ($n=10$) followed by the United States ($n=8$) and Italy, France, and Spain ($n=5$ for each of them). Most experiments ($n=52$) used a 'not altered' soil, whereas, in 25 experiments, the soil was 'manipulated', for example, by Apinya *et al.* (2015), which used a forest soil mixed with mature compost from yard waste at a ratio of 25:1. Five papers used a 'commercial' soil (e.g., Puchalski *et al.*, 2018). Authors generally reported basic information on the soil used in their experiments, of which the most frequent were pH, carbon content, nitrogen content, and soil texture (Figure 3). In 32 papers, the substrate used was described merely as 'soil', and no other information was included.

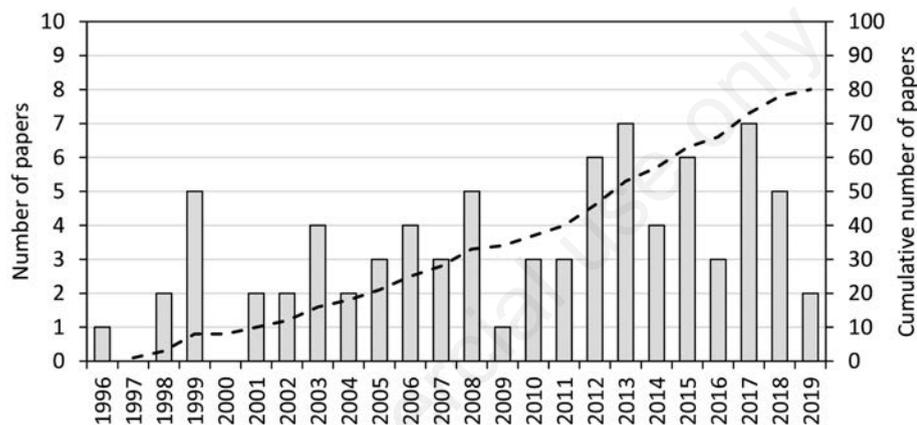


Figure 2. Trends and distribution of the 80 selected papers. The dashed line represents cumulative number of selected papers.

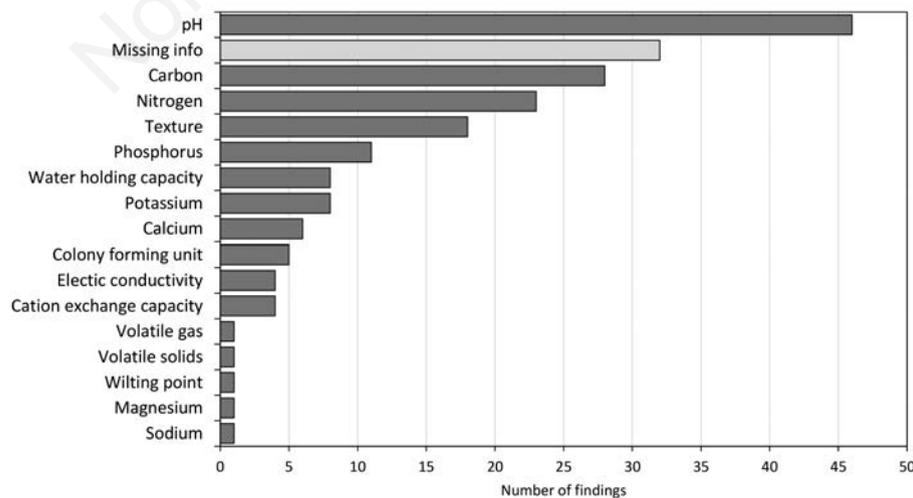


Figure 3. Main soil characteristics reported in the 80 selected papers. Studies that did not report any information regarding soil characteristics are indicated by the light grey bar.

As expected, the materials that were most frequently studied were commercial films (*i.e.*, mulch films already available on the market) or experimental blends (*e.g.*, experimental spunbonded polylactic acid fabric) (*e.g.*, Cowan *et al.*, 2013). Again, PLA was the most frequently studied pure polymer, while the least frequently studied polymer was PBAT, which was included in experiments as a pure polymer only in 6 papers (Figure 4).

Reported degradation rates of the studied polymers

The stated biodegradation rates of biodegradable plastics or polymers in this review are derived from different soils, different soil:polymer ratios (in the case of laboratory incubations), differences in investigated materials, and often estimated using indirect methods only. For these reasons, the results reported in this review are not to be taken as a precise estimation of the biodegradation of each polymer. Instead, this review provides the range of reported biodegradation for a certain polymer either under laboratory or field conditions, using direct and indirect methods. It is not surprising that each of the analysed polymers showed marked differences in biodegradation rates with a vast range of values around the estimated mean. Furthermore, differences between estimated means

were appreciable also within the same polymer when studies were carried out under laboratory or field conditions (Figure 5).

Experiments carried out under laboratory-controlled conditions resulted in faster rates of degradation of the same polymer compared to the experiments carried out under open-field conditions, except for PBAT, which seems on average to be degraded 4 times as fast under field conditions (Table 1). This polymer was investigated in 15 experiments, of which 7 were carried out under open-field conditions. In 2 of these 7 experiments, the reported degradation was estimated only by visual methodologies, which could have led to gross overestimation. Since PBAT is polyester with ester groups within its partially aromatic chain, its biodegradation is supposed to be relatively low (Moore-Kucera *et al.*, 2014). Indeed, another study carried out under laboratory conditions showed that pure PBAT polyester underwent minimal degradation as measured by CO₂ evolution after 100 days of incubation in real soil at 25°C (Šerá *et al.*, 2016). On the other hand, a degradation of about 10% was detected by Zumstein *et al.* (2018) after about 40 days, although in this case, the experiment was intentionally interrupted to allow other visual analyses. Indeed, if the two studies which likely overestimated the biodegradation of PBAT are

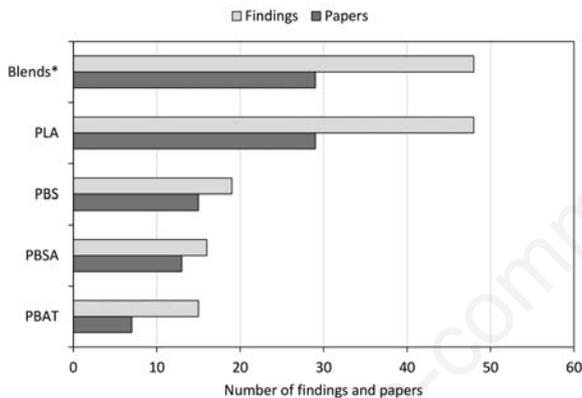


Figure 4. Number of findings and papers for the types of polyesters reported in the 80 selected papers. *Blends' includes different commercial and experimental biodegradable mulch films.

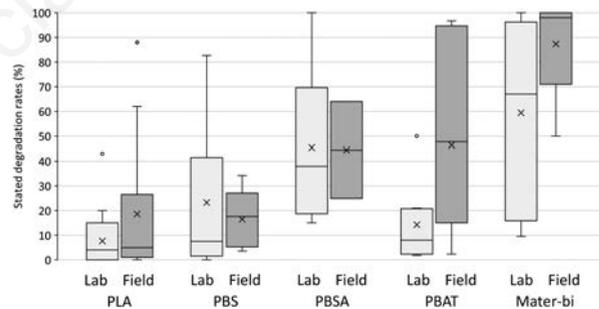


Figure 5. Reported biodegradation with direct and indirect methods for each studied polymer in laboratory (lab) and field conditions.

Table 1. Stated percentages of biodegradation (mean±SD) for each polymer analysed in soil, and linear forecast of days required to reach 50% and 90% biodegradation and estimated percentage of biodegradation after 6 months.

Polymer	Condition	Degradation (%)	Mean length (days)	Estimation of degradation		
				Days to 50%	Days to 90%	% after 6 months
PLA	Lab	0.08±0.11	126	825	1485	11%
	Field	0.19±0.27	392	1055	1900	9%
PBS	Lab	0.23±0.29	114	244	440	37%
	Field	0.16±0.12	163	495	890	18%
PBSA	Lab	0.45±0.30	124	137	247	66%
	Field	0.44±0.28	209	235	422	38%
PBAT	Lab	0.14±0.16	197	690	1241	13%
	Field	0.46±0.38	138	148	267	61%
Mater-Bi	Lab	0.59±0.40	163	137	247	65%
	Field	0.87±0.20	253	145	261	62%

removed, the days needed to reach 50% degradation would double (*i.e.*, from 148 to 312 days), which, however, seems to be again a too small time window. Thus, if the main aim is to quantify the biodegradation rates of the mulch films, visual estimation in the open field, if not coupled with other methods, could lead to remarkable biases. When using indirect methods such as the remaining surface area to quantify the biodegradation rates of the mulch films, it is necessary to acknowledge that these methods do not prove biodegradation (Zumstein *et al.*, 2019). For example, an overestimation might occur if film fragments are not retrieved from the field (*e.g.*, lost through the mesh bags holes), while an underestimation might occur when the film biodegradation process changes film thickness leaving no detectable changes in the surface area.

The results obtained from the analysed papers suggest that, on average, PLA had the slowest biodegradation rate (Table 1). This finding appears to be aligned with the literature as studies confirm that PLA-degrading microorganisms are not widely distributed in the soil, and thus PLA is expected to degrade slowly in natural soil (Tokiwa *et al.*, 2009). Furthermore, slow degradation of PLA is reported, for example, by Apinya *et al.* (2015) under laboratory conditions and by Cowan *et al.* (2013) under open-field conditions. For these reasons, results like the ones reported in one paper, which reported a PLA weight loss of 88% after 2 years of soil burial, appear to be at least questionable.

Although it has been reported that the microorganisms that degrade PBS are widely distributed (Tokiwa *et al.*, 2009), from the estimation presented in Table 1, it seems that PBSA can be degraded faster than PBS on average. Indeed, rapid degradation of PBSA in soil has been reported, for example, by Kitamoto *et al.* (2011), who observed a degradation rate of more than 80% after 6 weeks, or by Yamamoto-Tamura *et al.* (2015), who reported a PBSA

degradation rate of close to 44% in 28 days.

What emerges clearly is that the published experiments show very diverse and sometimes conflicting results to date. This is because different biotic and abiotic factors influence the biodegradation of films and/or the polymers that compose them. Such factors include the process of film manufacture, the ratio of the different polymers within the final commercial product, the weathering process (*i.e.*, the action sun, rain, wind, and temperature), and all of the biotic components of soil, including enzyme activities of the fungi and bacteria naturally occurring in real soil environments (Figure 1). This high context-dependency coupled with the lack of a shared methodology for estimating biodegradation of plastic in the soil likely results in over- or underestimations of degradation rates. The consequence is that the results obtained from different studies are seldom comparable. However, these estimations, albeit imprecise, represent the currently available data. Furthermore, these data derive from different and widely used methodologies that can be grouped into direct (CO₂ evolution) and indirect methods (visual analysis, mass loss measurements, and spectroscopy). An overview of such methodologies is presented and critically discussed below.

Methods used for the estimation of plastic biodegradation

The most frequent methodologies used to estimate biodegradation were visual analysis and mass loss, followed by spectroscopy and the release of CO₂ (Figure 6). Ruggero *et al.* (2019) recently reviewed the methods used to determine biodegradable plastic degradation under aerobic composting and digestion and reported a more balanced distribution between the same four categories of methodologies compared to the one illustrated here. However, similarly to the results reported by Ruggero *et al.* (2019), the studies selected here generally used a combination of two or more

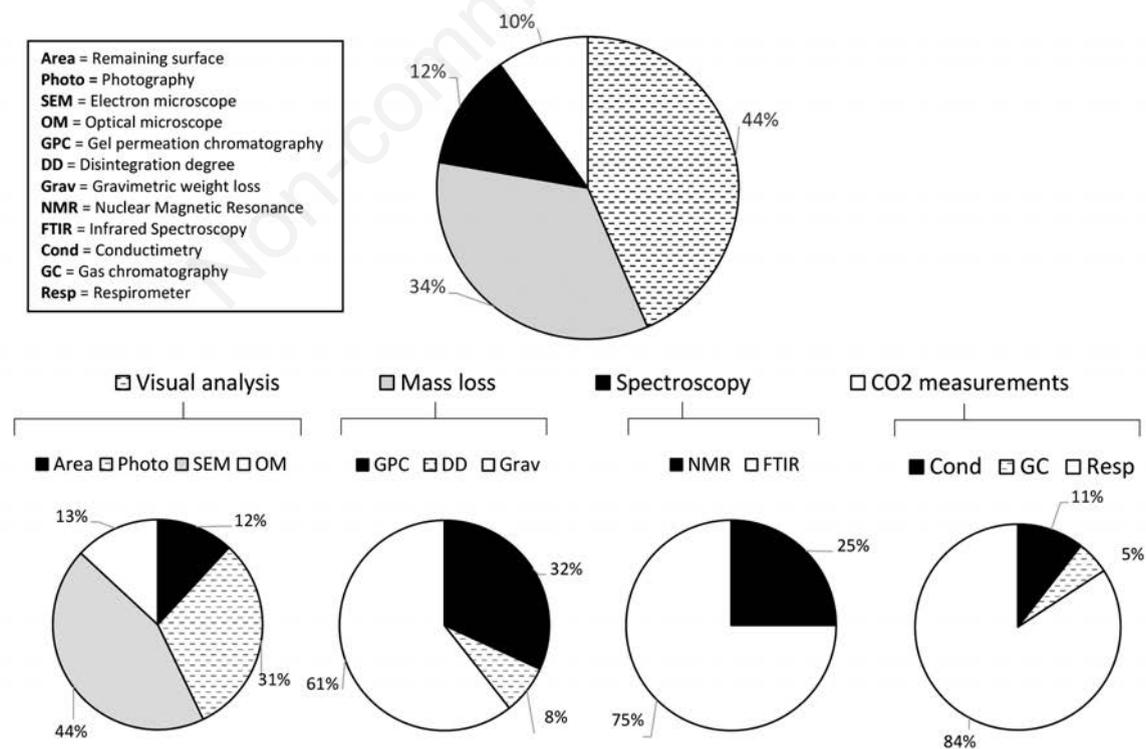


Figure 6. Details of reported methodologies used to estimate plastic biodegradation in soil.

methodological approaches. In the following section, the methodologies developed and used in the selected papers are presented and discussed.

Visual analysis

Visual analysis methods, used in 71 out of 80 papers are utilised as a 'proxy' for evaluating the biodegradation of plastics. Visual analysis can consider various criteria, including physical erosion (e.g., cracks, holes, tunnels), fragmentation, remaining surface area, or signs of microbial colonisation.

Photography was commonly used to show signs of abiotic degradation through weathering in open field trials (e.g., Briassoulis, 2007; Li *et al.*, 2014) and, to a lesser extent, also in laboratory incubation experiments (e.g., Someya *et al.*, 2007). Yamamoto-Tamura *et al.* (2015) used photographic analysis after the burial of 2×2 cm pieces of PBSA film in a laboratory incubation experiment and monitored the remaining area of film over time. Visual analysis has the advantage of showing a clear temporal path and might be suited for the estimation of the residual area over time. Visual analysis could be easily coupled with film mass loss or CO₂ evolution analysis if adequately designed. The estimation of the area of the film remaining after a certain period was also used under open-field conditions by visually assigning scaled values to the degree of plastic film deterioration during the crop-growing season (Miles *et al.*, 2012). For example, the estimation of remaining areas was used in open-field trials by Cowan *et al.*, 2013 via photography and subsequent use of image analysis software which can transform the image into binary (*i.e.*, only white and black pixels). Percentage of soil cover is extensively used in other disciplines such as vegetation surveys and it might be used to evaluate the effect of film weathering in open field but it should never be used as an estimate of the biodegradation of mulch films.

Scanning electron microscopy (SEM), one of the most frequently used visual methods, acquires an image of the object surface by using an electron beam. For example, in one study, cracks on the film surface were found near bacterial filament traces, suggesting the involvement of bacterial extracellular enzymes that degrade the film (Šerá *et al.*, 2016). On the other hand, Kamiya *et al.* (2007) observed hollows along fungal hyphae on the surface of biodegradable plastics, while holes and pits (which indicate bacterial decomposition of the biodegradable plastics) were not found, suggesting that soil fungi were the main contributors to the biodegradation process.

Although visual estimation does not give the ultimate proof of polymer biodegradation, this method can be a valid help in estimating biodegradation rates and could act as a 'control' to be associated with open-field trials that use remaining visual area or in incubation experiments that use polymers in powder form. For example, Brodhagen *et al.* (2015) suggested that visible deterioration of the biodegradable plastic surface may be caused by factors such as acid weathering rather than enzymatic decomposition. Zumstein *et al.* (2018) used SEM to show signs of microbial colonization on different types of ¹³C-labelled PBAT also using isotope-selective nanoscale secondary ion mass spectrometry (NanoSIMS) coupled with cavity ringdown spectroscopy to demonstrate PBAT biodegradability.

Indeed, even if the shape or surface of polymer changes, that is not sufficient to prove its biodegradability. Confirmation of whether microorganisms mineralise the polymer is necessary as a proof of its ultimate biodegradation.

Mass loss

Mass loss was the second most frequently used method for assessing the biodegradation of polymers (Figure 6). Among these methods, gravimetric weight loss was the most frequently used probably because it is the most 'immediate and easy' in quantifying changes in plastic films over time. This method generally consisted of washing samples in distilled or 'MilliQ' water, drying to constant mass, and final weighing. This technique has the advantage of being able to be performed both under open field conditions (e.g., Wang *et al.*, 2015) and laboratory conditions (e.g., Barragán *et al.*, 2016), but there is no guarantee that all the fragments (especially fragments not visible to the naked eye) will be included in the analysis because they can be lost during the sample cleaning process.

Gel permeation chromatography (GPC) is an analytical technique that separates dissolved macromolecules by size based on their elution from columns filled with a porous gel. Using GPC makes it possible to characterize different molecules, including natural or synthetic polymers. The generated information on shifts in the polymer molecular weight distribution toward smaller masses is generally considered an indirect indicator of biodegradation (Monáčnsková *et al.*, 2017; Ruggero *et al.*, 2019). GPC was used in 21 out of 80 papers, always coupled with other techniques such as SEM and gas chromatography (Šerá *et al.*, 2016).

The disintegration degree, *i.e.*, percentage of particles retained on a 2-mm mesh sieve, dried to constant mass, and weighed after 90 days in a laboratory-scale test (Ruggero *et al.*, 2019), was seldom used (Figure 6).

Attempting to precisely measure the biodegradation of plastic films using only mass loss measurement is not acceptable from a scientific point of view because it would likely lead to incomplete, wrong, or even harmful conclusions (Zumstein *et al.*, 2019). Nevertheless, most studies used mass loss; however, this was always coupled with other methods but rarely with direct methods (e.g., Apinya *et al.*, 2015).

Spectroscopy

Some of the selected papers (12/80) also used spectroscopy to assess the biodegradation of polymers, although it was always used in combination with other techniques such as respirometers (CO₂ evolution) and visual analysis (e.g., Feuilloley *et al.*, 2005; Vanharova *et al.*, 2017). Spectroscopy detects changes in the polymer spectrum after polymer abiotic or biotic degradation. Although different types of spectroscopic analysis are available, most of the selected papers used Fourier-transform infrared spectroscopy (FTIR). For example, Barragán *et al.* (2016) used FTIR to detect changes in absorbance at specific wavenumbers in commercial and experimental mulch films. They reported a decrease in the intrinsic peak of the ester bond (1640-1840 cm⁻¹) and a change in the absorption peak specific to the material and suggested that decreases in the peak absorbances were attributable to the microbial biodegradation of the material. Some of the papers (6/80) also used nuclear magnetic resonance (NMR), which can detect functional groups and determine monomer ratios for the analysed polymers (Ruggero *et al.*, 2019). For example, Rychter *et al.* (2010) used NMR on PBAT rods incubated in sandy soil but detected no changes between the ratios of *terephthalate* and *adipate*, suggesting no compositional preferences in the biodegradation process. The NMR, in that case, was coupled with size-exclusion chromatography and weight loss, which however showed limited signs of biodegradation after 22 months of incubation. However, it is well-known that biodegradation is a surface process and using

PBAT rods likely biased the results. Conversely, Sintim *et al.* (2020) indicated that the ratio of PLA in PLA:PHA manufactured mulch significantly increased after burial in soil for 3 years at two different locations. In these cases, the spectroscopic analyses were useful for evaluating biodegradable plastics' deterioration in soils. However, further studies are needed to clarify whether these spectroscopic alterations arise from abiotic or biotic factors or both factors in soils.

CO₂ evolution

According to the international standards (ISO 17556:2012, ASTM D5998 and EN 17033), biodegradation of plastic can be assessed by measuring the CO₂ that evolves from the metabolic activities of soil microorganisms, when the tested material is the sole source of carbon present in the soil. This has been discussed

in many reviews, and it is generally agreed that this method gives a precise measure of the percentage of mineralised carbon under laboratory-controlled conditions (Kyrikou and Briassoulis, 2007; Kasirajan and Ngouajio, 2012; Li *et al.*, 2014; Brodhagen *et al.*, 2015; Briassoulis and Degli Innocenti, 2017; Bandopadhyay *et al.*, 2018). However, there are some shortcomings with CO₂ measurements, such as failing to define the fate of additives, leaving room for risk of potential soil ecotoxicity (Lucas *et al.*, 2008; Zumstein *et al.*, 2019). This aspect is expected to be overcome by including the soil ecotoxicity tests in the new ISO 23517:2021 as well as in EN 17033 standard.

Most of the studies that estimated the biodegradation of polyesters by measuring CO₂ evolution from the tested material followed ISO and/or ASTM standards and most used respirometers (Table 2). Other methodologies used for CO₂ estimation included conductimetry (*e.g.*, Thompson *et al.*, 2019) and gas chromatogra-

Table 2. Papers measuring degradation of polyesters by CO₂ evolution, with the main experimental settings and the international standard adopted.

Authors	Soil type	Standard used	Tested material/soil weight (g/g)	Temp (°C)	Water (% Vol)	Length of experiment (days)
Abe <i>et al.</i> , 2010	Manipulated (Commercial andosol, Alles G inoculum, commercial compost, sawdust)	—	1.54/5.5	—	60	14
Apinya <i>et al.</i> , 2015	Manipulated (forest soil mixed with mature compost from yard waste)	ASTM D5988-12 (2003)	0.72/200	30±2	45	60
Ardisson <i>et al.</i> , 2014	Manipulated (addition of compost + other elements)	ISO 17556 (2012)	10/800	28±2	—	318
Barragán <i>et al.</i> , 2012	Natural	ISO 17556 (AENOR, 2005)	0.5/200	25±2	—	90
Gómez and Michel, 2013	Manipulated (mixture of 43% certified organic topsoil, 43% no-till farm soil and 14% sand, ammonium phosphate)	ASTM D5988-03	1 [†] /300	20±2	60	660
Ho and Pometto, 1999	Manipulated (soil mixture of potting soil, manure soil, sand [1:1:1 (w/w)])	—	1.5/200	28	—	182
Jeszeová <i>et al.</i> , 2018	Manipulated (soil and perlite)	ASTM D5988-12 and STN 17556-2012	0.45/200	20	—	365
Mccarthy <i>et al.</i> , 1999	Natural	—	—	37	—	45
Mosnáčková <i>et al.</i> , 2017	Manipulated (soil and perlite)	ASTM D5988-12 and STN 17556-2012	—/200	20	—	375
Palsikowski <i>et al.</i> , 2018	Natural (collected from different areas and mixed)	ASTM D5988-12	—/200	28	47	120
Ratto <i>et al.</i> , 1999	Manipulated (mix of potting soil, sand, and composted manure)	—	0.45–0.48/50	30	60±5	368
Šerá <i>et al.</i> , 2016	Manipulated (soil, perlite)	—	0.1 g/10 g	25	—	100
Solaro <i>et al.</i> , 1998	Manipulated (15:10 mixture of forest soil and agricultural soil, addition of (NH ₄) ₂ HPO ₄)	—	0.3 g [†] /10–12.5	Room temp.	—	82–125
Thompson <i>et al.</i> , 2019	Manipulated (Biodegradation stimulants, urea, sucrose, nitrogen)	ISO 17556	—/150 g	23	60	112
Touchaleaume <i>et al.</i> , 2016	Natural	ASTM D5988-96	0.002 [†] /1	28	—	750
Vanharova <i>et al.</i> , 2017	Manipulated (clay soil, commercial garden compost (1:5) and 100 mL of liquid medium)	ISO 17556:2012	1/500 [§]	—	—	70

[†]grams of carbon instead of grams of material; [§]volume in cm³ instead of weight in grams.

phy (e.g., Šerá *et al.*, 2016). Regardless of the adopted method, the main limitation of CO₂ measurement for estimating biodegradation rates is that it can be practically carried out only under laboratory-controlled conditions. Techniques for the measurement of soil CO₂ emissions typically used under open-field conditions, such as portable infrared gas analysers (e.g., Francioni *et al.*, 2019), are not suited because they are likely, not able to detect and separate soil heterotrophic respiration and the CO₂ evolved from the biodegradable plastic films, which is expected to be very small.

Generally, what emerges from the papers analysed is great attention to the chemical composition of the polymers as opposed to a scarce (if present at all) attention to the characteristics of the soil (Figure 3). Soil physicochemical characteristics play a key role in the biodegradation of polymers as they significantly influence the microbial activity and, thus, the amount of CO₂ evolved from microbial assimilation of biodegradable plastic. Moreover, the ratio of film and soil used in the incubation experiments was sometimes not reported clearly. Making this information easy to note would be desirable because it would make the experiments easier to replicate and might help simulate the presence of plastic film in real soil at the end of each cropping cycle when it should be incorporated into the soil through tillage.

Implications and future prospects

This review analysed the methods used to estimate the degradation of biodegradable plastics in the soil environment. Generally, two or more methods have been used simultaneously within the same study. However, the most frequently used methods were indirect (i.e., visual analysis and mass loss, followed by spectroscopy), leaving the direct ones (i.e., the release of CO₂) as the least used, despite being the only ones capable of proving the biodegradation of the polymer. The reported results appear to be very dispersed even with the same polymer and under the same conditions (i.e., laboratory-controlled or open-field). From this perspective, there is a need to standardise the methodologies either under laboratory or field conditions to make studies as comparable and replicable as possible.

Many papers analysing biodegradable plastics in soil are ‘chemical-engineering oriented’ and investigate film physicochemical characteristics, including crystallinity, elongation until breakage, and other such parameters. These types of studies represented most of the papers that were extracted but not included in this review. Nevertheless, this field of research remains of utmost importance because of the continuous improvement of commercial products. However, what often emerges in these types of studies and in some of the studies included in this current review is that soil characteristics (Figure 3) are of little importance. Agricultural soil is where biodegradable mulch films are meant to be buried and, hopefully, ultimately biodegraded by microorganisms. Thus, much more attention is required when dealing with biodegradable plastics to be used in agriculture because soils are profoundly heterogeneous, and the ‘soil environment’ must not be considered a ‘standard environment’. Therefore, future studies must include standard environmental parameters (e.g., room temperature and soil:polymer ratio for laboratory experiments and meteorological conditions for field trials), but they cannot disregard an accurate description of the soil used.

Almost none of the analysed papers clearly presented an agronomic perspective that should be considered, especially in open field trials. Future studies should not be limited only to comparing

the performance of standard mulches with that of biodegradable mulches in crop yields and quality. However, they should also investigate the effects of different agronomic practices, such as different timing, frequency, or depths of tillage used to incorporate plastic into the soil. Although this might be an essential aspect of research for crop scientists, it was never included in any of the 80 papers analysed in this review.

There are objective difficulties in using direct methods for estimating biodegradation under open field conditions. In this case, the use of indirect methods for the analysis of biodegradation of mulch films might be useful but must be considered only as indicators of potential biodegradation. For this reason, future studies using indirect methods should use mulch film whose biodegradability in soil environment has already been demonstrated in previous studies. However, a new methodology that combines polymer extraction and NMR analysis has been recently proposed to quantitatively assess the biodegradation rates of the polymer under open field conditions over time (Nelson *et al.*, 2020).

Soil biodegradable mulch films are available on the market, but farmers still seldom use them (Malinconico, 2017). A great help in moving beyond the use of non-biodegradable polyethylene mulch films could be given by policy tools such as agri-environmental measures or payment for ecosystem services (Scaringelli *et al.* 2016). If properly managed, these tools can directly involve all stakeholders (i.e., farmers, policymakers, and researchers) in collaborative research approaches, resulting in efficient incentive-based systems (Toderi *et al.*, 2017). However, to create such tools, there is first the need to show to farmers and policymakers that certain mulch films can be biodegraded in the soil. It must be pointed out that the confirmation of the biodegradability of mulch films through direct methods (i.e., CO₂ evolution) remains necessary from the scientific point of view, but this can be coupled with indirect methods that are more suitable for facilitating dialogue with policymakers, farmers, and other stakeholders.

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